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E. Willis
4-30-02

PATENT

Atty Docket No.: US018167
WHE: PENA/29
Express Mail No. EL887450550US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Charles Olewine et al.
Serial No.: FILED HEREWITH
Filed: October 9, 2001
For: METAL-INSULATOR-METAL (MIM) CAPACITOR STRUCTURE AND METHODS
OF FABRICATING SAME

jc821 U.S. PTO
09/973640
10/09/01



INFORMATION DISCLOSURE STATEMENT

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

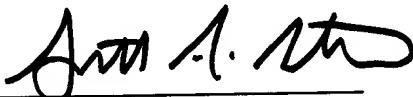
In accordance with Applicants' duty of disclosure under the provisions of 37 C.F.R. § 1.56, the references listed on the enclosed Form PTO-1449 are brought to the attention of the Examiner. Copies of the references are also enclosed.

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relative relevance of any portion of a reference is intended.

Consideration of the references and the remarks presented herein in the above-identified application is respectfully requested.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,



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9 OCT 2001

Date